

# SMT Solving Modulo Tableau and Rewriting Theories

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- Integrate Tableau theory as a regular SMT theory for completeness
- Integrate rewriting into SMT for better performances
- Unification modulo rewriting

- All this work is done in the context of an SMT solver
- $\text{SMT} = \text{SAT} + \text{Theory}$
- $\text{SAT} = \text{unit propagation} + \text{conflict detection/analysis} + \text{backtracking}$
- Clauses can be added to the solver during solving

# SMT modulo Tableau

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# Tableau method

Tableau proof search:

- sequent calculus
- $\Gamma \vdash \perp$
- contraction at each step

$$\frac{A \wedge B}{A, B}$$

$$\frac{A \vee B}{A \quad B}$$

# Boxed formulas

- Boxed formulas:  $\lfloor \forall x : \mathbb{N}. x > 0 \rightarrow x + 1 > 0 \rfloor$
- Negations escape boxes:  $\lfloor \neg P \rfloor = \neg \lfloor P \rfloor$ ,  $\lfloor \neg \neg P \rfloor = \lfloor P \rfloor$
- Boxed formulas are literals
- Clauses are disjunctions of (negated) boxed formulas:

$$C \equiv \neg \lfloor P \vee Q \rfloor \vee \lfloor P \rfloor \vee \lfloor Q \rfloor$$

- Similar to what is done in Satallax

- Encode propositional logic into clausal calculus
- Realizes a lazy CNF conversion
- Each time a literal (i.e. a boxed formula) is decided or propagated, add clauses that “unfold” its top logical connective

# Tableau theory - example

Let's prove that  $F = (A \vee C) \rightarrow (A \vee B \vee C)$

Clauses

- $C_0 = \neg[A \vee C \rightarrow A \vee B \vee C]$

Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$



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- $C_2 = [F] \vee \neg[A \vee B \vee C]$

Trail

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Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$

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- $C_3 = \neg[A \vee C] \vee [A] \vee [C]$

Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$
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- $C_4 = [A \vee B \vee C] \vee \neg[A]$
- $C_5 = [A \vee B \vee C] \vee \neg[B]$
- $C_6 = [A \vee B \vee C] \vee \neg[C]$

Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$
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Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$
- $[A \vee B \vee C] \mapsto_0 \perp$
- $[A] \mapsto_0 \perp$

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Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$
- $[A \vee B \vee C] \mapsto_0 \perp$
- $[A] \mapsto_0 \perp$
- $[B] \mapsto_0 \perp$

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- $C_6 = [A \vee B \vee C] \vee \neg[C]$

Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$
- $[A \vee B \vee C] \mapsto_0 \perp$
- $[A] \mapsto_0 \perp$
- $[B] \mapsto_0 \perp$
- $[C] \mapsto_0 \perp$



# Tableau theory - example

Let's prove that  $F = (A \vee C) \rightarrow (A \vee B \vee C)$

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- $C_6 = [A \vee B \vee C] \vee \neg[C]$

Trail

- $[A \vee C \rightarrow A \vee B \vee C] \mapsto_0 \perp$
- $[A \vee C] \mapsto_0 \top$
- $[A \vee B \vee C] \mapsto_0 \perp$
- $[A] \mapsto_0 \perp$
- $[B] \mapsto_0 \perp$
- $[C] \mapsto_0 \perp$
- Conflict in  $C_3$  !

# Quantified formulas and meta-variables

- Generate epsilon-terms for existentials:

$$C = \neg[\exists x.P(x)] \vee [P(\epsilon(x).P(x))]$$

- Generate meta-variables for universals:

$$C = \neg[\forall x.P(x)] \vee [P(X_{\forall x.P(x)})]$$

- When a model is found unify formulas that are true with those that are false, to get a substitution on meta-variables
- Instantiate a substitution  $\{X_{\forall x.P(x)} \mapsto t\}$ :

$$C = \neg[\forall x.P(x)] \vee [P(t)]$$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$

Clauses

- $C_0 = \neg[D]$

Trail

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Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$

Clauses

- $C_0 = \neg[D]$

Trail

- $[D] \mapsto_0 \perp$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$

Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$

Trail

- $[D] \mapsto_0 \perp$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$

Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$

Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
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Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$

Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$

Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$

Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$



# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$

Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$

Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
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- $C_0 = \neg[D]$
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Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
- $[p(\tau)] \mapsto_0 \perp$

# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$
- Unify  $p(X)$  and  $p(\tau)$

Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$

Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
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# Quantified formulas - example

Drinker's paradox:

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$
- Unify  $p(X)$  and  $p(\tau)$ :  $\{X \mapsto \tau\}$

Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee [\neg E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$

Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
- $[p(\tau)] \mapsto_0 \perp$

## Quantified formulas - example

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$
- $E' = p(\tau) \rightarrow \forall y. p(y)$

### Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee \neg[E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$
- $C_5 = [D] \vee \neg[E']$

### Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
- $[p(\tau)] \mapsto_0 \perp$

## Quantified formulas - example

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$
- $E' = p(\tau) \rightarrow \forall y. p(y)$

### Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee \neg[E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$
- $C_5 = [D] \vee \neg[E']$

### Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
- $[p(\tau)] \mapsto_0 \perp$
- $[E'] \mapsto_0 \perp$

## Quantified formulas - example

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$
- $E' = p(\tau) \rightarrow \forall y. p(y)$

### Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee \neg[E]$
- $C_2 = [E] \vee [p(X)]$
- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$
- $C_5 = [D] \vee \neg[E']$
- $C_6 = [E'] \vee [p(\tau)]$
- $C_7 = [E'] \vee \neg[\forall y. p(y)]$

### Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
- $[p(\tau)] \mapsto_0 \perp$
- $[E'] \mapsto_0 \perp$

## Quantified formulas - example

- $D = \exists x. p(x) \rightarrow (\forall y. p(y))$
- $E = p(X) \rightarrow \forall y. p(y)$
- $E' = p(\tau) \rightarrow \forall y. p(y)$

### Clauses

- $C_0 = \neg[D]$
- $C_1 = [D] \vee \neg[E]$
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- $C_3 = [E] \vee \neg[\forall y. p(y)]$
- $C_4 = [\forall y. p(y)] \vee \neg[p(\tau)]$
- $C_5 = [D] \vee \neg[E']$
- $C_6 = [E'] \vee [p(\tau)]$
- $C_7 = [E'] \vee \neg[\forall y. p(y)]$

### Trail

- $[D] \mapsto_0 \perp$
- $[E] \mapsto_0 \perp$
- $[p(X)] \mapsto_0 \top$
- $[\forall y. p(y)] \mapsto_0 \perp$
- $[p(\tau)] \mapsto_0 \perp$
- $[E'] \mapsto_0 \perp$
- Conflict in  $C_6$  !



## Quantified formulas - more details

- Terms are immutable: meta-variables are never substituted, instead new terms are generated
- Meta-variables are rigid: during unification they can be bound only once
- Need to unify modulo equalities in general

# SMT modulo Rewriting

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# Rewriting system

- Rewrite rule:  $l \longrightarrow r$ , with  $FV(l) \subseteq FV(r)$ 
  - term rewrite rule:  $l$  and  $r$  are terms
  - formula rewrite rule:  $l$  is an atomic formula and  $r$  a formula
- $t \longrightarrow t'$  if a subterm/subformula  $t|_{\omega} = \sigma(l)$ , and  $t' = t[\sigma(r)]|_{\omega}$
- A term is in normal form when it can't be rewritten anymore
- We assume the rewrite system is terminating and confluent

# Rewriting as a theory

- Similar to Tableau theory, whenever a boxed atomic formula is propagated or decided, normalize it and add a clause:

$$\left( \bigvee_{(l,r) \in T} \neg[\forall \vec{x}. l \Leftrightarrow r] \right) \vee \left( \bigvee_{(l,r) \in F} \neg[\forall \vec{x}. l = r] \right) \vee [P \Leftrightarrow P']$$

with:

- $T$  the set of term rewrite rules used during normalization
- $F$  the set of formula rewrite rules used during normalization

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$

Trail

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$

Trail

- $A \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$

Trail

- $A \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = \underline{A \vee B}$
- $C_3 = A \vee \neg C$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)



# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \quad \mathbf{C} \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a]$$

$$E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

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$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$

# Rewriting - example

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$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

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- $C_6 = \neg D \vee F$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$

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$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
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- $C_6 = \neg D \vee F$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$

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$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a] \quad E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

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$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$   
(rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$
- $G \mapsto_0 \perp$



# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

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$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$
- $C_8 = G \vee \neg H$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$
- (rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$
- $G \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a] \quad E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
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- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$
- $C_8 = G \vee \neg H$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$
- (rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$
- $G \mapsto_0 \perp$
- $H \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a] \quad E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$
- $C_8 = G \vee \neg H$
- $C_9 = H \vee I$
- $C_{10} = H \vee \neg I$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$
- (rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$
- $G \mapsto_0 \perp$
- $H \mapsto_0 \perp$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

$$D \equiv [a \subseteq a \Leftrightarrow \forall x. x \in a \Rightarrow x \in a] \quad E \equiv [a \subseteq a \Rightarrow \forall x. x \in a \Rightarrow x \in a]$$

$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$
- $C_8 = G \vee \neg H$
- $C_9 = \underline{H \vee I}$
- $C_{10} = H \vee \neg I$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$
- (rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$
- $G \mapsto_0 \perp$
- $H \mapsto_0 \perp$
- $I \mapsto_0 \top$

# Rewriting - example

$$A \equiv [(\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t) \Rightarrow a \subseteq a]$$

$$B \equiv [\forall s, t. s \subseteq t \Leftrightarrow \forall x. x \in s \Rightarrow x \in t] \quad C \equiv [a \subseteq a]$$

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$$F \equiv [(\forall x. x \in a \Rightarrow x \in a) \Rightarrow a \subseteq a] \quad G \equiv [\forall x. x \in a \Rightarrow x \in a]$$

$$H \equiv [\epsilon_x \in a \Rightarrow \epsilon_x \in a] \quad I \equiv [\epsilon_x \in a]$$

Clauses

- $C_1 = \neg A$
- $C_2 = A \vee B$
- $C_3 = A \vee \neg C$
- $C_4 = \neg B \vee D$
- $C_5 = \neg D \vee E$
- $C_6 = \neg D \vee F$
- $C_7 = \neg F \vee \neg G \vee C$
- $C_8 = G \vee \neg H$
- $C_9 = H \vee I$
- $C_{10} = H \vee \neg I$

Trail

- $A \mapsto_0 \perp$
- $B \mapsto_0 \top$
- (rewrite rule)
- $C \mapsto_0 \perp$
- $D \mapsto_0 \top$
- $E \mapsto_0 \top$
- $F \mapsto_0 \top$
- $G \mapsto_0 \perp$
- $H \mapsto_0 \perp$
- $I \mapsto_0 \top$
- Unsat!

# Finding instantiations modulo Rewriting

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# Finding instantiations and rewrite rules

- Instantiations are found by unifying the true predicates with the false predicates whenever a model is found.
- If the problem contains equalities, need to unify modulo equalities
- In presence of rewrite rules, need to unify modulo equalities, and modulo rewrite rules

# Rigid Superposition

- Unit Superposition (close to unfailing Knuth-Bendix completion):

$$\frac{s = t \quad u \bowtie v \quad \sigma = \text{mgu}(u|_p, s), u|_p \notin V}{\sigma(u[p \leftarrow t] \bowtie v) \quad \sigma(s) \not\prec \sigma(t), \sigma(u) \not\prec \sigma(v)}$$

- Use rigid variables: look for solutions where each variable is bound at most once
- Each (dis)equality carry a set of substitution
- Applying some rules needs to merge substitutions, which can fail because of rigid variables (for instance  $\{X \mapsto a\}$  and  $\{X \mapsto b\}$  can not be merged because  $X$  is rigid)
- Dual of AVATAR (where a superposition prover calls a SAT solver)



# Unit Rigid superposition

- $\sigma \circ \sigma' \triangleq \{x \mapsto (x\sigma)\sigma' \mid x \in \text{domain}(\sigma)\}$
- $\Sigma \circ \sigma' \triangleq \{\sigma \circ \sigma' \mid \sigma \in \Sigma\}$ .
- $\sigma \leq \sigma'$  if and only if  $\exists \sigma'' . \sigma \circ \sigma'' = \sigma'$ .
- $\sigma \uparrow \sigma'$  is the supremum of  $\{\sigma, \sigma'\}$  for the order  $\leq$ , if it exists, or  $\perp$
- $\Sigma \uparrow \Sigma' \triangleq \{\sigma \uparrow \sigma' \mid \sigma \in \Sigma, \sigma' \in \Sigma', \sigma \uparrow \sigma' \neq \perp\}$ .

$$\text{SN/SP} \frac{s \approx t \mid \Sigma \quad u R v \mid \Sigma'}{\sigma''(u[p \leftarrow t]) R v \mid \sigma'''} \quad \text{if} \quad \begin{cases} \sigma'' = \text{mgu}(u|_p, s) & u|_p \notin V \\ \sigma''(s) \not\approx \sigma''(t) & \sigma''(u) \not\approx \sigma''(v) \\ \sigma''' \in (\Sigma \circ \sigma'') \uparrow (\Sigma' \circ \sigma'') \\ R \in \{\approx, \not\approx\} \end{cases}$$

# Unit superposition - example

$$\begin{array}{lcl} & a \preceq b & \\ \text{pair}(\text{fst}(x), \text{snd}(x)) & \longrightarrow & x \\ \text{fst}(a) & \approx & \text{fst}(b) \\ p(a) & \not\approx & p(\text{pair}(\text{fst}(b), X)) \end{array}$$

# Unit superposition - example

- 1 rewrite rule  $\text{pair}(\text{fst}(x), \text{snd}(x)) \longrightarrow x$
- 2 axiom  $\text{fst}(a) = \text{fst}(b)$
- 3 axiom  $p(a) \neq p(\text{pair}(\text{fst}(b), X))$
- 4 rewr(1)  $\text{pair}(\text{fst}(x), \text{snd}(x)) \approx x \mid \{\}$
- 5 rename(2)  $\text{fst}(a) \approx \text{fst}(b) \mid \{\}$
- 6 rename(3)  $p(a) \not\approx p(\text{pair}(\text{fst}(b), y)) \mid \{X \mapsto y\}$
- 7 RN(5,6)  $p(a) \not\approx p(\text{pair}(\text{fst}(a), y)) \mid \{X \mapsto y\}$
- 8 SN(4,7)  $p(a) \not\approx p(a) \mid \{X \mapsto \text{snd}(a)\}$
- 9 ER(8)  $\emptyset \mid \{X \mapsto \text{snd}(a)\}$

## Experimental results

- Implemented in ArchSat (SMT solver + Tableau + Rewriting)
- Tested using the set axiomatisation of the B method
- Axiomatisation expressed using polymorphism
- 319 lemma taken from the B-Book

319 Problems	ArchSAT	Zenon Modulo	Alt-Ergo
Proofs	272	138	232
Rate	85.3%	43.3%	72.7%
Total time (s)	16.61 (260 proof) + 252.08 (12 last proofs)	2.86	8.42

# Conclusion

- Rewriting provides better performances
- Tableau theory is a viable alternative to CNF conversion
- Modularity: both theories presented work well with traditional ground solving in SMT solvers
- Further works:
  - Fine-tune Unit rigid superposition
  - Better instantiation schema, inspired from other Tableau provers